

	U.S. ENVIRONMENTAL PROTECTION AGENCY Cooperative Agreement		GRANT NUMBER (FAIN): 00295593		DATE OF AWARD 09/29/2000
			MODIFICATION NUMBER: 4		
			PROGRAM CODE: V		MAILING DATE 10/06/2000
			TYPE OF ACTION Augmentation: Increase		
RECIPIENT TYPE: Indian Tribe			Send Payment Request to: Region 2 Grants & Contracts Mgt. Branch		ACH# 0213
RECIPIENT: Saint Regis Mohawk Tribe 412 State Route 37 Hogansburg, NY 13655 EIN: 16-1007650			PAYEE: Saint Regis Mohawk Tribe 412 State Route 37 Hogansburg, NY 13655		
PROJECT MANAGER Ken Jock E-Mail: Phone: 518-358-5937		EPA PROJECT OFFICER Mel Hauptman 290 Broadway New York, NY 10007-1866 E-Mail: Phone: 212-637-3952		EPA GRANT SPECIALIST Carol Hemington E-Mail: Phone: 212-637-3420	
PROJECT TITLE AND EXPLANATION OF CHANGES General Motors Site - Support Agency Assistance					
BUDGET PERIOD 10/01/1995 - 09/30/2002		PROJECT PERIOD 10/01/1995 - 09/30/2002		TOTAL BUDGET PERIOD COST \$776,969.00	TOTAL PROJECT PERIOD COST \$776,969.00
NOTICE OF AWARD					
<p>Based on your Application dated 06/23/2000 including all modifications and amendments, the United States acting by and through the US Environmental Protection Agency (EPA) hereby awards \$266,163. EPA agrees to cost-share <u>100.00%</u> of all approved budget period costs incurred, up to and not exceeding total federal funding of \$776,969. Recipient's signature is not required on this agreement. The recipient demonstrates its commitment to carry out this award by either: 1) drawing down funds within 21 days after the EPA award or amendment mailing date; or 2) not filing a notice of disagreement with the award terms and conditions within 21 days after the EPA award or amendment mailing date. If the recipient disagrees with the terms and conditions specified in this award, the authorized representative of the recipient must furnish a notice of disagreement to the EPA Award Official within 21 days after the EPA award or amendment mailing date. In case of disagreement, and until the disagreement is resolved, the recipient should not draw down on the funds provided by this award/amendment, and any costs incurred by the recipient are at its own risk. This agreement is subject to applicable EPA regulatory and statutory provisions, all terms and conditions of this agreement and any attachments.</p>					
ISSUING OFFICE (GRANTS MANAGEMENT OFFICE)			AWARD APPROVAL OFFICE		
ORGANIZATION / ADDRESS Grants and Audit Management Branch 290 Broadway, 27th Floor New York, NY 10007-1866			ORGANIZATION / ADDRESS U.S. EPA, Region 2		
THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY					
Digital signature applied by EPA Award Official Jeanne M. Fox - Regional Administrator					DATE 09/29/2000

V - 00295593 - 4 Page 2

FUNDS	FORMER AWARD	THIS ACTION	AMENDED TOTAL
EPA Amount This Action	\$ 510,806	\$ 266,163	\$ 776,969
EPA In-Kind Amount	\$ 0	\$	\$ 0
Unexpended Prior Year Balance	\$ 0	\$	\$ 0
Other Federal Funds	\$	\$	\$ 0
Recipient Contribution	\$ 0	\$	\$ 0
State Contribution	\$ 0	\$	\$ 0
Local Contribution	\$ 0	\$	\$ 0
Other Contribution	\$ 0	\$	\$ 0
Allowable Project Cost	\$ 510,806	\$ 266,163	\$ 776,969

Assistance Program (CFDA)	Statutory Authority	Regulatory Authority
66.802 - Superfund State Site Specific Cooperative Agreements	CERCLA: Sec. 104	40 CFR PTS 31 & 35 SUBPT O

Fiscal									
Site Name	Req No	FY	Approp. Code	Budget Organization	PRC	Object Class	Site/Project	Cost Organization	Obligation / Deobligation
OU1 RD SAA	HE0265	00	T	2AH0P	50102D	41.85	01A6MA00	C002	86,317
OU1 RA SAA	HE0279	00	T	2AH0P	50102D	41.85	01A6MA00	C002	51,233
OU2 RD SAA	HE0280	00	T	2AH0P	50102D	41.85	01A6MA00	C002	86,317
OU2 RA SAA	HE0281	00	T	2AH0P	50102D	41.85	01A6MA00	C002	42,296
									266,163

Approved Budget	
Program Element Classification (Construction)	Approved Allowable Budget Period Cost
1. Administration Expense	\$0
2. Preliminary Expense	\$0
3. Land Structure, Right Of Way	\$0
4. Architectural Engineering Basic Fees	\$0
5. Other Architectural Engineering Fees	\$0
6. Project Inspection Fees	\$0
7. Land Development	\$0
8. Relocation Expenses	\$0
9. Relocation Payments to Individuals & Bus.	\$0
10. Demolition and Removal	\$0
11. Construction and Project Improvement	\$0
12. Equipment	\$0
13. Miscellaneous	\$0
14. Total (Lines 1 thru 13)	\$0
15. Estimate Income	\$0
16. Net Project Amount (Line 14 minus 15)	\$0
17. Less: Ineligible Exclusions	\$0
18. Add: Contingencies	\$0
19. Total (Share: Recip % Fed %)	\$0
20. TOTAL APPROVED ASSISTANCE AMOUNT	\$0

Budget Summary Page

Table A - Object Class Category (Non-construction)	Total Approved Allowable Budget Period Cost
1. Personnel	\$232,941
2. Fringe Benefits	\$64,505
3. Travel	\$36,847
4. Equipment	\$30,670
5. Supplies	\$9,741
6. Contractual	\$317,393
7. Construction	\$0
8. Other	\$21,075
9. Total Direct Charges	\$713,172
10. Indirect Costs: % Base	\$63,797
11. Total (Share: Recipient <u>0.00</u> % Federal <u>100.00</u> %.)	\$776,969
12. Total Approved Assistance Amount	\$776,969
13. Program Income	\$0
14. Total EPA Amount Awarded This Action	\$
15. Total EPA Amount Awarded To Date	\$

OU #1 RD SAA

Table A - Object Class Category (Non-construction)	Total Approved Allowable Budget Period Cost
1. Personnel	\$71,121
2. Fringe Benefits	\$30,131
3. Travel	\$15,797
4. Equipment	\$13,170
5. Supplies	\$3,806
6. Contractual	\$140,865
7. Construction	\$0
8. Other	\$7,125
9. Total Direct Charges	\$282,015
10. Indirect Costs	\$29,522
11. Total (Share: Recipient <u>0.00</u> % Federal <u>100.00</u> %.)	\$311,537
12. Total Approved Assistance Amount	\$311,537
13. Program Income	\$0

OU #1 RA SAA

Table A - Object Class Category (Non-construction)	Total Approved Allowable Budget Period Cost
1. Personnel	\$21,159
2. Fringe Benefits	\$8,973
3. Travel	\$6,050
4. Equipment	\$7,500
5. Supplies	\$2,133
6. Contractual	\$46,900
7. Construction	\$0
8. Other	\$6,825
9. Total Direct Charges	\$99,540
10. Indirect Costs	\$8,616
11. Total (Share: Recipient <u>0.00</u> % Federal <u>100.00</u> %.)	\$108,156
12. Total Approved Assistance Amount	\$108,156
13. Program Income	\$0

OU #2 RD SAA

Table A - Object Class Category (Non-construction)	Total Approved Allowable Budget Period Cost
1. Personnel	\$56,241
2. Fringe Benefits	\$25,401
3. Travel	\$15,000
4. Equipment	\$10,000
5. Supplies	\$3,802
6. Contractual	\$129,628
7. Construction	\$0
8. Other	\$7,125
9. Total Direct Charges	\$247,197
10. Indirect Costs	\$25,659
11. Total (Share: Recipient <u>0.00</u> % Federal <u>100.00</u> %.)	\$272,856
12. Total Approved Assistance Amount	\$272,856
13. Program Income	\$0

OU #2 RA SAA

Table A - Object Class Category (Non-construction)	Total Approved Allowable Budget Period Cost
1. Personnel	\$84,420
2. Fringe Benefits	\$0
3. Travel	\$0
4. Equipment	\$0
5. Supplies	\$0
6. Contractual	\$0
7. Construction	\$0
8. Other	\$0
9. Total Direct Charges	\$84,420
10. Indirect Costs	\$0
11. Total (Share: Recipient <u>0.00</u> % Federal <u>100.00</u> %.)	\$84,420
12. Total Approved Assistance Amount	\$84,420
13. Program Income	\$0

Administrative Conditions

1. a. GENERAL CONDITION

The recipient covenants and agrees that it will expeditiously initiate and timely complete the project work for which assistance has been awarded under this agreement, in accordance with all applicable provisions of 40 CFR Chapter 1, Subchapter B. The recipient warrants, represents, and agrees that it, and all its contractors, employees and representatives, will comply with all applicable provisions of 40 CFR Chapter 1, Subchapter B, INCLUDING BUT NOT LIMITED TO the provisions of 40 CFR Part 35 Subpart O.

b. TERMS AND CONDITIONS

All Terms and Conditions included or referenced in Amendment No. V002955-93-2 remain in effect and are incorporated by reference herein, with the following exception(s):

The portions of Condition No. 19 requiring additional information and/or revised budgets for Supplies, Operable Unit (OU) No. 1 Remedial Design (RD) Support Agency Assistance (SAA), OU No. 1 Remedial Action (RA) SAA, and OU No. 2 RD SAA have been satisfied. However, the portion of that condition requiring a revised budget for OU No. 2 RA SAA has not been satisfied and remains in effect. Funding provided in this amendment for OU No. 2 RA SAA may not be drawn down until revised budget information is submitted that corresponds to the amounts previously awarded for that activity. The portion of Condition No. 19 that deals with funds awarded for Travel in the previous Amendment No. V002955-93-2 is revised to read "with respect to the Travel funds included in Amendment No. V002955-93-2, only those costs incurred for a meeting on landfill sampling that took place in New York City on October 1, 1998 and a 40-hour hazmat certification for the oversight technician may be charged to the cooperative agreement until such time as the recipient has provided to the EPA Grants Office and the EPA Grants Office has approved in writing, budget backup for the remaining Travel amount approved in that amendment. If no backup is provided for this amount, EPA plans to utilize these funds in the place of awarding a like amount of new funds for the next fiscal year.

Condition No. 20 has been satisfied and is hereby deleted and replaced with the following:

20. LIMITATION ON CHARGING COSTS - EQUIPMENT

No equipment with a unit cost \geq \$5,000 shall be purchased with funds awarded in this amendment until the recipient has submitted to the EPA Grants Office, and the EPA Grants Office has approved in writing, the lease vs. buy analysis required by regulations.

The following condition is added:

21. YEAR 2000 COMPLIANCE

Your assistance agreement may produce electronic date-sensitive data or systems that use such data. Any information technology purchased, produced, submitted or exchanged under this agreement must be capable of processing date data according to EPA's Data Standard for representation of calendar dates (EPA Directive 2100, IRM Policy Manual, Chapter 5, Data Standards). This information is located on the EPA website <http://www.epa.gov/irmpoli8/polman/chaptr05.txt.html#calendar>. Information technology acquired under this agreement should be consistent with the technical Year 2000 requirements as described in the Federal Acquisition Regulation 39.002. This information is located on the official GSA website <http://www.arnet.gov/far/97-05/html/39.html>.